

An Investigation into the Efficiency of Observers to Visually Detect Adult Salmon
Spawning in the Prairie Creek Watershed, Humboldt County, California

By

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1 ABSTRACT

2
3 Investigations into Spawning Surveyor Observer Efficiency and Adult Salmonid
4 Movement in the Prairie Creek Watershed, Humboldt County, California

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10 I estimated the observer efficiency of spawning surveyors conducting foot surveys
11 for live coho and Chinook salmon in Prairie Creek, Humboldt County, California, during
12 the 2007-2008 spawning season, and in Prairie Creek and its main tributary, Lost Man
13 Creek, during the 2008-2009 spawning season. A dual-mark recapture design was
14 utilized whereby adult salmon caught at weirs below the spawning grounds were tagged
15 with both passive integrated transponder (PIT) tags and externally-visible Peterson disc
16 tags. An array of in-stream PIT interrogation antennae was used to monitor movement of
17 tagged individuals into and out of survey reaches. Individuals that died within survey
18 reaches, and whose exact date of mortality was unknown, were removed from the pool of
19 observable tagged individuals through a process that involved estimating a range of
20 probable dates of mortality from confidence intervals constructed around survey life
21 estimates; the process produced two counts of tagged fish available to be observed – one
22 based on removing individuals at the beginning of the estimated range of mortality, and
23 one based on removing them at the end of the range. Survey life of tagged individuals
24 was estimated as a linear function of date of arrival at the weir, condition upon arriving at
25 the weir, and sex.

26 Surveyors counted the numbers of tagged and untagged fish they observed on
27 each spawning survey. Observer efficiency was calculated in two ways: observer
28 efficiency was calculated as the ratio of the number of tagged fish observed on a given
29 survey to the number of tagged individuals estimated to be present in the survey reach on
30 the survey date from the antenna data ('comparison' method); the second method
31 involved alternately substituting the weir-based mark-recapture escapement estimate, the
32 lower- and upper-bounds of its confidence interval, and the lower- and upper bounds of
33 the confidence interval of the survey life estimate into the area-under-the-curve
34 escapement estimation formula and solving for the observer efficiency term ('solution'
35 method). Due to a mid-season funding freeze and resulting lack of data, observer
36 efficiency calculations were not possible for the 2008-2009 spawning season. Observer
37 efficiency estimates obtained with the comparison method from the surveys conducted
38 during the 2007-2008 spawning season ranged from 0 to 1, with a median value of 0.167
39 based on the low count of tagged individuals available to be observed, and 0.114 based
40 on the high count. The solution method observer efficiency estimates ranged from 0.130,
41 as calculated from the upper bounds of the escapement estimate and survey life
42 confidence intervals, to 0.491 as calculated with the lower bounds of the escapement
43 estimate and survey life confidence intervals.
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 168 season. 41

INTRODUCTION

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Pacific Coast populations of anadromous salmonids are generally in decline due to both anthropogenic and natural disturbances (Nehlsen et al. 1991; Moyle 2002). On California's North Coast, both common species of Pacific salmon (coho salmon, *Oncorhynchus kisutch* and Chinook salmon, *O. tshawytscha*) and steelhead, *O. mykiss*, are listed as threatened in one or more evolutionarily significant units (ESUs) under the United States Endangered Species Act (ESA) of 1976. Coho salmon are listed in the Southern Oregon/Northern California Coastal (SONCC) ESU, Chinook salmon are listed in the California Coastal ESU, and steelhead are listed in the Northern California ESU (NOAA 1997, 1999, 2000).

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Under the ESA, species listed as threatened or endangered must be restored to self-sustaining levels before de-listing is possible, and recovery of the species is deemed complete when a sufficient number of populations within the ESU have achieved sustainable numbers of individuals. The recovery assessment is based on assessments of both population size and trajectory. In California, the California Department of Fish and Game (CDFG) has developed a monitoring strategy for anadromous salmonids, the goal of which is to facilitate efficient management of anadromous salmonid populations by generating the necessary population size and trend data. The California monitoring plan for anadromous salmonids calls for using stream survey counts of live fish and redds in the estimation of adult escapement to small streams and rivers (Adams et al. 2011).

191 Periodic counts of live fish are commonly used to estimate escapement of adult
192 anadromous Pacific salmonids using the area-under-the-curve (AUC) methodology
193 (Ames and Phinney 1977; Perrin and Irvine 1990; English et al 1992; Bue et al 1998;
194 Hilborn et al 1999; Szerlong and Rundio 2008). AUC estimates, like any estimate of
195 escapement derived from survey counts, are sensitive to the accuracy of the counts, or
196 observer efficiency when counting fish. Several studies have attempted to quantify
197 observer efficiency during spawner surveys. However, most of these studies have been
198 conducted on streams in Oregon, Washington, or British Columbia, and few actually
199 measured efficiency of observers conducting foot surveys for live fish. Solazzi (1984)
200 investigated observer efficiency of surveyors conducting foot surveys for spawning coho
201 and Chinook salmon in several Oregon streams as well as the influence of several
202 environmental factors on observer efficiency. He calculated observer efficiency as the
203 ratio of the number of fish observed to the total number of fish in the survey reach,
204 estimated using depletion-removal electrofishing, and estimated it to be approximately 75
205 percent for adult salmon. Shardlow et al. (1987) estimated observer efficiency of several
206 salmonid spawning survey methods, including foot surveys, by comparing observer
207 counts in an isolated reach of stream to weir counts made at the downstream end of the
208 isolated reach; resulting estimates of observer efficiency were found to be lowest for foot
209 surveys (less than 30 percent). Bue et al. (1998) used a calibration regression method to
210 estimate observer efficiency, regressing aerial survey counts against estimates of the total
211 number of fish present during surveys and using the slope of the regression line as the
212 estimate of observer efficiency, which was found to range from 17 to 89 percent.

213 Korman et al. (2002) measured observer efficiency of divers conducting dive surveys by
214 using a method combining radio telemetry tags and externally visible spaghetti tags
215 applied simultaneously to steelhead in a British Columbia stream, and found that
216 observer efficiency was highly variable, ranging from almost zero to approximately 60
217 percent over the course of the study.

218 These studies exemplify the typical methods used to measure observer efficiency:
219 comparing survey counts to estimates of total fish present (developed using any of a
220 variety of methods), mark-recapture designs coupled with some method for determining
221 the total number of marked fish present in the survey reach at the time of the survey, and
222 regression analysis. A fourth method for quantifying observer efficiency, which requires
223 simultaneous use of multiple escapement estimation methods, is to solve for the observer
224 efficiency term in an escapement estimation equation. This method has been used to
225 deduce that observer efficiency of surveyors conducting foot surveys on a small coastal
226 stream in Northern California was approximately 25 percent for coho salmon (Ricker
227 2007, personal communication).

228 The coastal anadromous salmonid monitoring plan adopted by CDFG calls for the
229 use of spawner survey counts of live fish to estimate adult escapement as one element of
230 population assessment. Existing evidence points to high inherent levels of variation in
231 observer efficiency within and between streams. Therefore, to increase the dependability
232 of adult escapement estimates there is a need to quantify the variability of observer
233 efficiency in small streams in California. This study was designed to quantify the
234 observer efficiency of surveyors conducting spawner surveys for live fish in a small

235 coastal watershed in Northern California. I estimated observer efficiency using a dual
236 mark-recapture design similar to that of Korman et al. (2002).

237 The objectives of this study were to: 1) quantify observer efficiency of counts of
238 live adult salmonids resulting from foot surveys; and 2) evaluate dependence of observer
239 efficiency with on environmental variables.

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SITE DESCRIPTION

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Prairie Creek is a fourth-order tributary to Redwood Creek with a 102 km² drainage area (Figure 1). The vast majority of the Prairie Creek watershed lies within the boundaries of Redwood National and State Parks in Humboldt County, California. The Prairie Creek watershed is underlain by a combination of weakly consolidated marine sediments (i.e. the Franciscan and Prairie Creek formations) and alluvial sedimentary rock (i.e. cobbles and sediments deposited by the ancestral Klamath River) that have been folded and faulted to produce the current topography (Cashman et al 1995).

251 Climate in the Prairie Creek watershed is generally described as temperate or
252 temperate/rainforest, with a mean annual precipitation of 172 cm, which falls mainly
253 between October and March. Mean annual air temperature is 11°C, and air temperature
254 in general is moderated by the watershed's proximity to the Pacific Ocean.

255 The dominant species of trees found in the watershed are coast redwood (*Sequoia*
256 *sempervirens*, old growth and regenerative), Sitka spruce (*Picea sitchensis*), and Douglas
257 fir (*Pseudotsuga menscezii*), with western hemlock (*Tsuga heterophylla*) and California
258 bay laurel (*Umbellularia californica*) present in lower numbers. The understory is
259 characterized by black huckleberry (*Vaccinium ovatum*), red huckleberry (*V. parvifolium*),
260 and sword fern (*Polystichum munitum*). The riparian is dominated by red alder (*Alnus*
261 *rubra*), big leaf maple (*Acer macrophyllum*), salmonberry (*Rubus spectabilis*), and stink
262 current (*Ribes bracteosum*).

263 The fish community is typical of a northern California coastal stream, with
264 anadromous salmonids including coho and Chinook salmon, steelhead, and coastal
265 cutthroat trout (*O. clarki clarki*), as well as prickly and coast range sculpin (*Cottus asper*
266 and *C. aleuticus*, respectively), Pacific and western brook lamprey (*Lampetra tridentata*
267 and *L. richardsoni*, respectively), three-spine stickleback (*Gasterosteus aculeatus*), and
268 Sacramento sucker (*Catostomus occidentalis*).

269 This study will focus on two distinct areas within the Prairie Creek watershed:
270 Prairie Creek from a point just downstream of the confluence of Prairie and StreeLOW
271 creeks to a point approximately 13.5 km upstream, and Lost Man Creek from its
272 confluence with Prairie Creek to a point approximately 5 km upstream. These stream
273 reaches comprise the majority of the available spawning habitat in the Prairie Creek
274 watershed. Previous studies have constructed four adjacent spawning survey reaches on
275 the main stem of Prairie Creek in the Prairie Creek study area. These survey reaches
276 were utilized in this study to facilitate comparison with previous escapement estimates.
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MATERIALS AND METHODS

Weir Operation

A resistance panel weir (Tobin 1994), modified for use in a small stream, was used to intercept adult salmonids on their upstream migration to the spawning grounds. In the 2007-2008 and 2008-2009 spawning seasons, a weir was installed and operated on the main stem of Prairie Creek above the confluence with Streeflow Creek. In the 2008-2009 spawning season, a second weir was installed on the main stem of Lost Man Creek approximately 300 m upstream from its confluence with Prairie Creek (Figure 1). Data recorded for each fish caught at either weir included species, sex, and fork length. Each target salmonid (Chinook salmon, coho salmon, and steelhead) received a uniquely coded, 32 mm passive integrated transponder (PIT) tag, inserted anteriorly between the flesh and skin through a 6.5 mm incision made approximately 25 mm below the insertion of the dorsal fin. Chinook and coho salmon received an additional Peterson disc tag, consisting of two 20 mm plastic discs secured with a surgical stainless steel pin through the dorsal flesh above the backbone, midway between the dorsal and adipose fins. Fish initially captured at the Prairie Creek weir received black disc tags, while fish initially captured at the Lost Man weir received white disc tags. Following data collection and tag application, each fish was allowed to recover in a recovery basin with positive water flow for at least 15 minutes before being released into the stream at least 20 m above the weir.

301 Spawning Ground Surveys

302 The study area of each stream was divided into several reaches of approximately
303 3 km each for the purposes of conducting spawning ground surveys (Figure 1). Reach
304 size was previously determined as a distance easily surveyed in one day. Each reach was
305 surveyed by a crew of at least two experienced surveyors approximately bi-weekly. The
306 interval between surveys was selected based on data from previous investigations that
307 showed an average stream residence time of approximately 17 days for adult coho
308 salmon returning to Prairie Creek (Wright 2011).

309 Surveys were conducted on foot moving upstream, and surveyors recorded
310 information for each live fish, carcass, and redd encountered during the survey. The
311 location of each live fish, carcass, and redd was estimated to the nearest 5 m using meter
312 marker flags attached to streamside vegetation every 50 m throughout the study reach.
313 For each live fish encountered during a survey, surveyors recorded species (if
314 identifiable), sex (if identifiable), whether the fish was actively digging or guarding a
315 redd, and whether the fish bore a Peterson disc tag.

316 Carcasses were identified to species and sex (if possible), and condition was
317 assessed (fresh, decayed, or skeletal/scattered remains). Carcasses were given a uniquely
318 numbered jaw tag to facilitate identification on subsequent surveys. Each carcass and the
319 area immediately surrounding each carcass was examined for the presence of any tags.
320 All carcasses were scanned with a hand-held PIT tag scanner and if a tag was detected,
321 the tag number was recorded. Additionally, surveyors estimated an average measure of
322 visibility by measuring the maximum depth visible on a stadia rod in deep pools

323 encountered in the reach. Weather conditions encountered during the survey were also
324 recorded.

325 PIT Interrogation Array

326 An array of in-stream PIT interrogation stations was installed in the Prairie Creek
327 main stem study area prior to the 2007-2008 spawning season, and was expanded to
328 include the Lost Man Creek study area prior to the 2008-2009 spawning season.

329 Interrogation stations were placed throughout each study area in such a manner that
330 effectively isolated each survey reach from tributaries and other survey reaches (Figure
331 1). For the 2007-2008 spawning season, the number of reader systems available could
332 isolate three of the four spawning survey reaches. As a result, only survey data from
333 three reaches were included in the observer efficiency study for that season.

334 Each interrogation station consisted of a Texas Instruments Series 2000 Radio
335 Frequency Identification (RFID) reader/controller unit (Texas Instruments Incorporated,
336 Dallas, Texas, USA) interfaced with an Oregon RFID internal data-logger (Oregon RFID,
337 Portland, Oregon, USA) and connected through a Texas Instruments multiplexer and a
338 Texas Instruments tuner module to either two or four antenna loops. Antenna loops were
339 constructed of 8-gauge, stranded copper wire and were anchored vertically perpendicular
340 to the stream channel (pass-through style) with t-posts. The bottom lengths of each loop
341 were buried at least 20 cm in the stream channel substrate. Antennae were tuned to
342 maximum read range as indicated by a Texas Instruments RFID tuning indicator, and
343 tuning was monitored and adjusted throughout the course of each season. Data recorded
344 on the internal data logger were uploaded weekly to a Palm M130 Personal Digital

367 Comparison Method

368 The comparison method consisted of estimating observer efficiency as the ratio of
369 the number of tagged fish observed during a survey to the number of tagged fish expected
370 to be in the survey area at the time of the survey. The elements required to make this
371 comparison were: 1) a population of fish bearing both PIT tags and external tags (in this
372 case, Peterson disc tags), and 2) an array of in-stream PIT tag antennae to monitor
373 movement of tagged fish into and out of survey reaches.

374 A source of error in the comparison method involves uncertainty in estimating the
375 size of the population of tagged fish available to be surveyed in any given survey reach
376 on a daily basis. Additions to the observable pool of tagged fish in any given reach were
377 straight-forward and easy to document, since they could only occur when a tagged fish
378 was recorded on antenna moving from a tributary or other survey reach into the survey
379 reach in question, or, for survey reaches adjacent to weirs, when newly tagged individuals
380 were released above the weirs. Similarly, it was straight-forward to document removals
381 from the observable pool that occur when fish migrate out of the reach in question.
382 However, removals that occurred as a result of mortality were more difficult to
383 document. For example, an individual could perish in a particular reach and then be
384 removed from the stream channel by a predator. In this situation, the antenna would not
385 record movement out of the reach for the individual and the survey crew would not find
386 the carcass on the next spawning survey. As a result, the individual would be incorrectly
387 assumed to still be alive in the survey reach in which it perished. For individuals whose
388 date of mortality was not known, date of mortality was predicted using the results of a

389 regression analysis conducted on individuals with known survey life (the amount of time
390 between when an individual first entered the survey area and when it died, Perrin and
391 Irvine 1990).

392 Linear regression, using Akaike's information criterion to assess and select
393 models, was used to model survey life as a function of biological and environmental
394 variables, and residual analysis was used to determine whether model assumptions were
395 met. The most parsimonious model was used to estimate survey life for the remaining
396 133 PIT- and Peterson disc-tagged coho salmon. Confidence intervals (95%) were
397 constructed around each survey life estimate, producing a range of possible dates of
398 mortality for each individual. These ranges were confronted with antenna detection
399 histories and the dataset was adjusted according to the following rules: 1) if the last
400 antenna detection of an individual occurred *before* the earliest date in the estimated range
401 of mortality, the individual was treated as alive until the day preceding the first date in
402 the estimated range of mortality, after which the individual was treated as being either
403 dead or alive on each day in the estimated range of mortality; 2) if the last detection
404 occurred *within* the estimated range of mortality, the individual was treated as alive until
405 the date of last detection, after which the individual was treated as being either dead or
406 alive on each remaining day in the estimated range of mortality; 3) if the date of last
407 detection occurred *on or after* the last date in the range of estimated mortality, the
408 individual was treated as though it died on the date of last detection. Applying these
409 rules resulted in two separate counts of individuals potentially present in survey reaches
410 on survey dates: a 'base' count resulting from treating individuals for which state of life

411 was unknown as dead, and a ‘high’ count resulting from treating those same individuals
 412 as alive. Observer efficiency was calculated separately for each count, resulting in ‘base’
 413 and ‘high’ observer efficiency values for each survey.

414 Solution Method

415 This method utilized the AUC escapement estimation formula, which has the
 416 general form of:

$$\hat{E} = \overline{AUC} * \hat{rt}^{-1} * \hat{oe}^{-1} \quad \text{Equation 1}$$

417 where \hat{E} is the estimated escapement, AUC is the area under the spawner abundance
 418 curve, rt is the average residence time in the study area (a quantity also known as survey
 419 life), and oe is observer efficiency. AUC was estimated from the spawner survey data as:

$$\overline{AUC} = 0.5 * \sum_{i=2}^n [(t_i - t_{i-1}) * (p_i + p_{i-1})] \quad \text{Equation 2}$$

421 where n is the number of surveys conducted during the season, t_i is the position of the i^{th}
 422 survey (measured in number of days since the first survey) relative to the first survey, and
 423 p_i is the number of fish present in the survey area on the date of the i^{th} survey (English et
 424 al. 1992). Normally, p_i is estimated by multiplying the number of fish observed on a
 425 survey by the observer efficiency, but since the goal was to solve for observer efficiency
 426 in *Equation 1*, only the numbers observed on each survey were used for values of p . The
 427 average survey life was determined using longevity information from individuals marked
 428 at the weir and subsequently recaptured as fresh carcasses. Escapement, for this
 429 procedure, was estimated using Chapman’s (1951) bias-adjusted form of the 2-sample
 430 Lincoln-Petersen mark-recapture expansion

431
$$\hat{N} = \frac{(M+1)(C+1)}{R+1} - 1$$
 Equation 3

432 where \hat{N} is the estimated escapement, M is the number of individuals initially caught and
 433 marked, C is the number of individuals secondarily caught and examined for marks, and
 434 R is the number of individuals tagged in the initial sample and recaptured in the second
 435 sample. Confidence intervals at the 95 percent level were constructed around the
 436 escapement estimate as two times the square root of the estimated variance of the
 437 escapement estimate, where variance was estimated according to the method described by
 438 Seber (1970). Once estimates of AUC , rt , and E had been produced, solving Equation 1
 439 for $\widehat{\sigma e}$ provided an estimate of observer efficiency. Three estimates of observer
 440 efficiency were produced this way: one based on the point estimates of survey life and
 441 escapement from the mark-recapture data, and a second and third produced by using the
 442 lower and upper 95 percent bounds on the survey life and mark-recapture escapement
 443 estimates.

444

445 Ancillary variables

446 Ancillary variables measured for inclusion as explanatory variables in the
 447 statistical analyses include five environmental variables measured within the study area
 448 and four descriptive variables derived from weir data and/or survey data. Environmental
 449 variables measured included stream discharge, stream turbidity, water temperature, air
 450 temperature, and light intensity in the riparian zone. Descriptive variables derived from
 451 weir data included date of initial arrival at the weir, as well as gender of each individual

452 included in the analysis. Descriptive variables derived from surveys included date of
453 survey and surveyor set (a categorical variable representing the pairs of surveyors that
454 conducted each survey).

455 Data analysis

456 Linear regression was used to determine what relationship, if any, existed
457 between the calculated observer efficiencies and the ancillary variables. A preliminary
458 residual analysis was conducted on the full linear model (the model with all explanatory
459 variables included) to determine whether the model met the error structure and
460 independence assumptions of linear regression. This analysis included an assessment of
461 normality and independence of model residuals. When necessary, non-linear variables
462 were transformed so that model assumptions were met. If assumptions were still
463 violated, nonlinear model structures were explored. Once an appropriate model structure
464 was identified, analysis was conducted according to the *a priori* concept (Burnham and
465 Anderson 2002), whereby further analysis was constrained to a parsimonious set of
466 candidate models. Model selection was accomplished based on corrected Akaike
467 Information Criterion (AIC_c) scores.

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RESULTS

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2007-2008 Spawning Season

474 The Prairie Creek weir was operated from 22 October 2007 through 6 April 2008.
475 High flows rendered the weir inoperable on four occasions, resulting in a total of 163
476 days of effective trapping. Coho salmon first arrived at the weir on 13 November 2007
477 and continued to arrive in a protracted, pulsed pattern until 5 February 2008 (Figure 2).
478 Chinook salmon arrivals were fewer and less protracted than coho salmon, beginning on
479 18 November 2007 and continuing until 8 January 2008. Steelhead first arrived at the
480 weir on 5 January 2007 and continued until 25 March 2008.

481 During the 2007-2008 trapping season, stream discharge ranged from a minimum
482 of $0.49 \text{ m}^3\text{s}^{-1}$ on 5 November 2007 to a maximum of $28.27 \text{ m}^3\text{s}^{-1}$ on 31 January 2008
483 (median = $1.02 \text{ m}^3\text{s}^{-1}$). Stream turbidity ranged from a minimum of 0 FNU (Formazin
484 Nephelometric Units) on 17 December 2007 to a maximum of 485 FNU on 4 January
485 2008 (median = 4 FNU). Stream discharge and turbidity data were collected by the
486 National Park Service (NPS) at the NPS gauging station located at the Wolf Creek
487 bridge, approximately 350 m upstream of the weir site.

488 Light intensity data were only collected through 22 November 2007, when a
489 malfunction rendered the light meter inoperable for the duration of the season. Data from
490 the meter were compared with data from the same period of time from three other light
491 meters in the region. Data from the nearest solar intensity meter located at 42.2233° N ,
492 124.0525° W , approximately 14.5 km from Prairie Creek study area, was used due to its

493 proximity to the study site and relatively strong correlation to the Prairie Creek light
494 meter data (Prairie, West Side correlation = 0.554), strongest correlation of three sites
495 examined.

496 A total of 176 coho salmon, 14 Chinook salmon, and 28 steelhead were caught
497 during the 2007-2008 trapping season (Table 1). Additionally, 21 coastal cutthroat trout,
498 one chum salmon, and one Sacramento sucker were trapped. PIT tags were applied to
499 167 coho salmon and 13 Chinook salmon. Of the PIT-tagged fish, 152 coho salmon and
500 11 Chinook salmon also received Peterson disc tags. Additionally, 15 PIT-tagged coho
501 salmon and two PIT-tagged Chinook salmon received radio tags.

502 During the 2007-2008 spawning season, a total of 24 spawning surveys were
503 conducted in the Prairie Creek study area (six surveys on each of four survey reaches).
504 The average interval between surveys on a reach was 21.8 days (standard deviation = 6.7
505 days). A total of 163 observations of live coho salmon (43 observations of tagged
506 individuals, 46 of untagged individuals, and 74 of individuals whose tag status could not
507 be determined by the surveyors) and seven observations of untagged Chinook salmon
508 were made over the course of the spawning season (Table 2).

509 The in-stream PIT-tag interrogation array recorded movement information for 156
510 tagged coho salmon, all 13 tagged Chinook salmon, and 31 tagged steelhead. Eleven
511 PIT-tagged coho salmon and one PIT-tagged steelhead were never detected by any of the
512 in-stream PIT antennae. Five steelhead detected by the array were repeat spawners that
513 had been tagged in the previous year as part of another study. Only one of these
514 returning individuals was trapped at the weir during the 2007-2008 trapping season; the

515 other four apparently entered the study area while the weir was topped over during a high
516 flow event.

517 The interrogation array functioned at high efficiency, except when antenna loops
518 were blown out due to high flows. A comparison of movement data from 12 coho
519 salmon that received both radio-telemetry and PIT tags yielded a PIT tag detection
520 efficiency of 90.8%. Errors in individual tracking histories that occurred as a result of
521 reader malfunction or loop blow-outs were identified with logical analyses of the
522 detection data. Logical errors were detected in 31 individuals (28 coho salmon and 3
523 steelhead). Only one coho salmon was removed from the analysis due to irreconcilable
524 errors in the antenna data; the remaining 27 coho salmon with errors in the antenna data
525 were included because their reach of residence was known with certainty on all relevant
526 survey dates. A total of 166 PIT- and Peterson-tagged coho salmon were used in the
527 observer efficiency analysis.

528 2008-2009 Spawning Season

529 Sampling during the 2008-2009 season was interrupted mid-season as a combined
530 result of a funding freeze and a large winter storm. The Prairie Creek and Lost Man
531 Creek weirs were operated with full funding from 1 November 2008 until 27 December
532 2008, when funding for the project was suspended. This required the discontinuation of
533 sampling in the Lost Man Creek study area, while sampling continued on Prairie Creek
534 on a volunteer basis. A severe winter storm caused extremely high flows on Prairie
535 Creek on 28 December 2008, and the Prairie Creek weir was topped over until early on 4

536 January 2009. Trapping resumed on 4 January 2009 and continued uninterrupted until the
537 weir sustained heavy damage during another large flow event on 16 March 2009.

538 From 1 November through 27 December 2008, a total of 80 coho salmon, 45
539 Chinook salmon, and two steelhead were caught at the Prairie Creek weir (Figure 3), and
540 12 coho salmon, 30 Chinook salmon, and 1 steelhead were caught at the Lost Man Creek
541 weir (Figure 4). After sampling resumed, only seven coho salmon, nine Chinook salmon,
542 and five steelhead were caught at the Prairie Creek weir during the remainder of the
543 trapping season. Additionally, throughout the 2008-2009 trapping season, a total of 11
544 cutthroat trout and one Sacramento sucker were trapped at the Prairie Creek weir, and
545 four cutthroat trout were trapped at the Lost Man Creek weir. At the Prairie Creek weir,
546 PIT and Peterson disc tags were applied to 78 coho salmon, 36 Chinook salmon, and 6
547 steelhead, while radio tags were applied to two coho salmon and three Chinook salmon
548 (Table 1). All coho and Chinook salmon trapped at the Lost Man Creek weir received
549 PIT and Peterson disc tags, while the only steelhead encountered there received a PIT tag
550 only. No radio-tags were applied to fish trapped at the Lost Man Creek weir.

551 A total of six surveys were conducted on the Prairie Creek and Lost Man Creek
552 reaches (one survey on each of the four Prairie Creek reaches, and one survey on each of
553 the two Lost Man Creek reaches) before project funding was cut. Thereafter, nine
554 surveys were conducted, primarily on the Prairie Creek reaches. Surveys on the Lost
555 Man Creek reaches yielded no observations of tagged coho or Chinook salmon, and a
556 total of six observations of untagged coho salmon and two observations of untagged
557 Chinook salmon (Table 3). Surveys on Prairie Creek resulted in a total of 18

558 observations of tagged coho salmon, five observations of tagged Chinook salmon, 32
559 observations of untagged coho salmon, and 14 observations of untagged Chinook salmon.
560 Also, 14 coho salmon and one Chinook salmon whose tag status surveyors were unable
561 to determine were observed on Prairie Creek.

562 The array of PIT antennae on Prairie Creek was operated from 14 November 2008
563 until 1 April 2009. All antennae in the Prairie Creek array were damaged by high flows
564 on 28 December 2008, and were inoperable until repairs were completed on 2 January
565 2009. The array of PIT antennae on Lost Man Creek was operated from 18 December
566 2008 until funding was frozen on 27 December 2008, at which time antennae operations
567 on Lost Man Creek were halted.

568 A total of 69 tagged coho salmon, 23 tagged Chinook salmon, and seven tagged
569 steelhead were detected by the Prairie Creek array during the 2008-2009 season. Three
570 of the seven steelhead detected by the array were individuals that were tagged as adults
571 during the 2007-2008 spawning season. Logical errors were identified in 46 percent of
572 coho salmon detection histories, 43 percent of Chinook salmon detection histories, and 71
573 percent of steelhead detection histories. Due to a combination of high error rates in
574 detection histories and the low number of observations made on spawning surveys, it was
575 not possible to complete the observer efficiency analysis for the 2008-2009 spawning
576 season.

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580 Observer Efficiency581 Comparison Method

582 During the 2007-2008 spawning season, individual survey life for 33 PIT-tagged
583 coho salmon decreased linearly over time (Figure 5), and was best modeled as a linear
584 function of the date of arrival at the weir, condition upon arrival, and sex (Table 4). A
585 residual analysis of the top model (Figure 6, Figure 7) did not reveal any significant lack
586 of model fit to the data. In comparing the estimated survey life ranges with the detection
587 histories, the first rule was applied to the majority of tagged individuals (71 percent, 94
588 individuals), while the second rule applied to 28 individuals (21 percent), and the third
589 rule was applied to 11 individuals (8 percent).

590 Only spawning surveys conducted on days when tagged fish were known to be
591 present in the surveyed reach (i.e., had been observed with the PIT tag interrogation
592 system) could be used for the observer efficiency analysis; 11 of the 24 surveys
593 conducted during the season satisfied this condition. Observer efficiency for these
594 surveys, calculated from the base number of tagged individuals present ranged from zero
595 to one with a median of 0.167 (first quartile = 0.036, third quartile = 0.268; Table 5), and
596 increased over time. Observer efficiency values based on the 'high' number of tagged
597 individuals ranged from zero to 0.533 with a median of 0.114 (first quartile = 0.036, third
598 quartile = 0.231), and also increased over time. Unfortunately, the observer efficiency
599 data was too sparse to model with respect to environmental covariates.

600 Solution Method

601 The solution method was applied only to data for coho salmon during the 2007-
602 2008 spawning season; lack of information on survey life and limited observations
603 precluded analysis for Chinook salmon and steelhead. Using information from the
604 spawner surveys, the area-under-the-curve (AUC) for coho salmon spawners for the
605 2007-2008 spawning season was estimated to be 2239 fish-days for coho salmon. The
606 average survey life for coho salmon during the 2007-2008 season was approximately 25
607 days, with lower and upper 95% confidence bounds at approximately 20 and 30 days,
608 respectively. The mark-recapture estimate for coho salmon escapement for the season
609 was 401 ± 173 individuals (estimate \pm 95% confidence interval). The mark-recapture
610 escapement estimate of 401 individuals and the 95 percent lower and upper bounds on
611 that estimate (228 and 574 individuals, respectively) were each substituted into the
612 general AUC escapement estimation formula along with the estimates of AUC and
613 survey life to produce three estimates of observer efficiency. The resulting estimates of
614 observer efficiency, based on the lower bounds on the mark-recapture and survey life
615 estimates, mark recapture and survey life estimate, and upper bounds on the mark-
616 recapture and survey life estimates, respectively, were 0.491, 0.223, and 0.130 (Table 6).

DISCUSSION

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To estimate a range of dates over which tagged coho salmon may have died, I modeled survey life of coho and found that the survey life of coho salmon was negatively correlated with date of arrival at the weir. Survey life was best modeled as a function of date of arrival at the weir, condition upon arriving at the weir, and sex. These findings are similar to results found in the literature; several studies have shown survey life of adult anadromous salmonids to decrease throughout the duration of a spawning season (Neilson and Geen 1981; Bocking et al. 1988; Perrin and Irvine 1990; English et al. 1992; Su et al. 2001; Korman et al. 2002; Szerlong and Rundio 2008; Goin 2009), and that sex has an influence on survey life (van Den Berghe and Gross 1986; Korman et al. 2002). One might suppose that condition upon arrival at the weir would be correlated with date of arrival. However, the data did not support this and both the term date of arrival at the weir and the term condition upon arriving at the weir lost significance when an interaction term between the two was added to the top model.

633 Observer efficiency calculations were only possible for coho salmon in the 2007-
634 2008 spawning season, and these values were too few to effectively model with
635 environmental covariates (a main objective of this study). A funding freeze coincided
636 with a large flow event during the peak of 2008-2009 season, resulting in a lack of
637 useable data from that season. Because of this, another study objective of comparing
638 observer efficiency values between streams within year and within streams between years
639 was not possible.

640 Observer efficiency estimates generated by the comparison method from the
641 2007-2008 season were highly variable (ranging from 0 to 1) and increased over time.
642 Regarding observer efficiency values of zero, it should be noted that on two of the three
643 surveys that produced these zero estimates, only one tagged individual was in the reach to
644 be observed and no untagged individuals were observed. In these instances - with
645 extremely low numbers of tagged fish, and low numbers of fish in the reach overall -
646 an efficiency of zero is understandable. However, the third survey that produced an
647 efficiency estimate of zero - 3 January 2008 on Prairie Creek Reach 3 - is different in that
648 between 7 and 13 tagged fish were present to be observed, and surveyors observed none
649 of them while observing 6 untagged individuals. Here, the observer efficiency obviously
650 is not zero since the surveyors observed fish. The probability of this outcome - an
651 observation of 0 out of a minimum of 7 tagged fish and a maximum of 13 tagged fish -
652 can be calculated if we assume a binomial distribution of possible counts of tagged fish
653 with 'n' available tagged fish (minimum $n=7$, maximum $n=13$) and 'p' equal to the median
654 value of all observer efficiency estimates (base count observer efficiency= 0.167 ,
655 maximum count observer efficiency= 0.114). Assuming this, we find that the probability
656 of observing 0 tagged fish on this survey was between 0.09 ($n=13$, efficiency= 0.167) and
657 0.43 ($n=7$, efficiency= 0.114).

658 The nature of the increase in observer efficiency over time (i.e. gradually
659 increasing as the season progressed, until the end of the season when the increase became
660 pronounced) could be due to several causes. These include increasing observer skill over
661 time and decreasing hiding behavior of spawning adults over time. The former is not

662 likely, as experienced surveyors that had conducted surveys for more than one season
663 were present on every survey. The latter, however, is more probable given the
664 physiological and behavioral changes that occur in semelparous Pacific salmonids over
665 the course of the spawning season.

666 Upon entry to freshwater, individuals focus energy on migration rather than
667 feeding (Synkova 1951; Prakash 1962; Higgs et al. 1995), and thus reach the spawning
668 grounds with a dwindling supply of energy that is used for location of mates and for
669 spawning. After spawning has been completed, males may search for more mates or die,
670 while females tend to guard redds and then die (Shapovalov and Taft 1954; Moyle 2002;
671 Goin 2009). In the pre-spawning, and to some degree during the spawning phase,
672 individuals tend to hide in pools or undercut banks, decreasing their chance of being
673 detected by surveyors (M. Sparkman, CDFG, Arcata, CA, personal communication).
674 However, during spawning and post-spawning phases, individuals are either pre-occupied
675 by the act of spawning or lack the energy to hide, thus increasing the chance of being
676 detected by observers. I am unaware of any published data on the relationship between
677 spawning phase and observability. Unfortunately, with only one year of useable observer
678 efficiency data I cannot infer that the trend over time the data show is not a random
679 occurrence.

680 The solution method produced estimates of observer efficiency with greater
681 magnitude and variability than those generated using the comparison method: the
682 solution estimate based on the lower 95 percent bounds on the survey life and mark-
683 recapture escapement estimates was 0.491, while the median of the comparison method

684 estimates generated from the base number of tagged individuals present was 0.167. The
685 solution method estimate based on the upper bounds on the mark-recapture and survey
686 life estimates was 0.130, while the median of the comparison method estimates based on
687 the maximum number of tagged individuals present was 0.114. The increased variability
688 observed in the solution method estimates is due to error propagation resulting from
689 incorporating variability around the survey life and escapement estimates, and illustrates
690 how sensitive this method is to variation in those components. The mean comparison
691 method observer efficiency values (0.252 from the base number of tagged individuals
692 present, and 0.159 from the maximum number of tagged individuals present) are more
693 similar to the solution method values, but the mean values may not accurately reflect the
694 central tendency of the non-normal observer efficiency distributions.

695 In general, most values of observer efficiency observed in this study are similar in
696 magnitude to those identified in other small coastal streams in Northern California.
697 Using the solution method, observer efficiencies of approximately 0.25 have been
698 estimated from Freshwater Creek, Humboldt County, California (S. Ricker, CDFG,
699 Arcata, CA, personal communication). Szerlong and Rundio (2009) report observer
700 efficiency estimates of approximately 0.22 from surveys on the South Fork Noyo River.
701 These estimates are similar to the mean comparison method observer efficiency
702 calculated from the base number of tagged individuals (0.252), as well as the solution
703 method observer efficiency based on the point estimates of survey life and escapement
704 (0.223). However, the variability in this study's observer efficiency estimates (Results

705 Table 6) suggests that observer efficiency may vary between stream reaches and over
706 time within reaches.

707 It should be noted that, as performed in this study, the solution method for estimating
708 observer efficiency cannot address the temporal variability that may exist in true observer
709 efficiency. Szerlong and Rundio (2008) have devised a methodology that allows, when
710 available, multiple observer efficiency calculations to be incorporated into the estimation
711 process. Utilizing the Szerlong-Rundio method would allow a higher level of accuracy
712 and better assessments of error in estimation; these are highly desirable traits of
713 escapement estimation methods in the context of stock assessment, management, and
714 rehabilitation.

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PERSONAL COMMUNICATIONS

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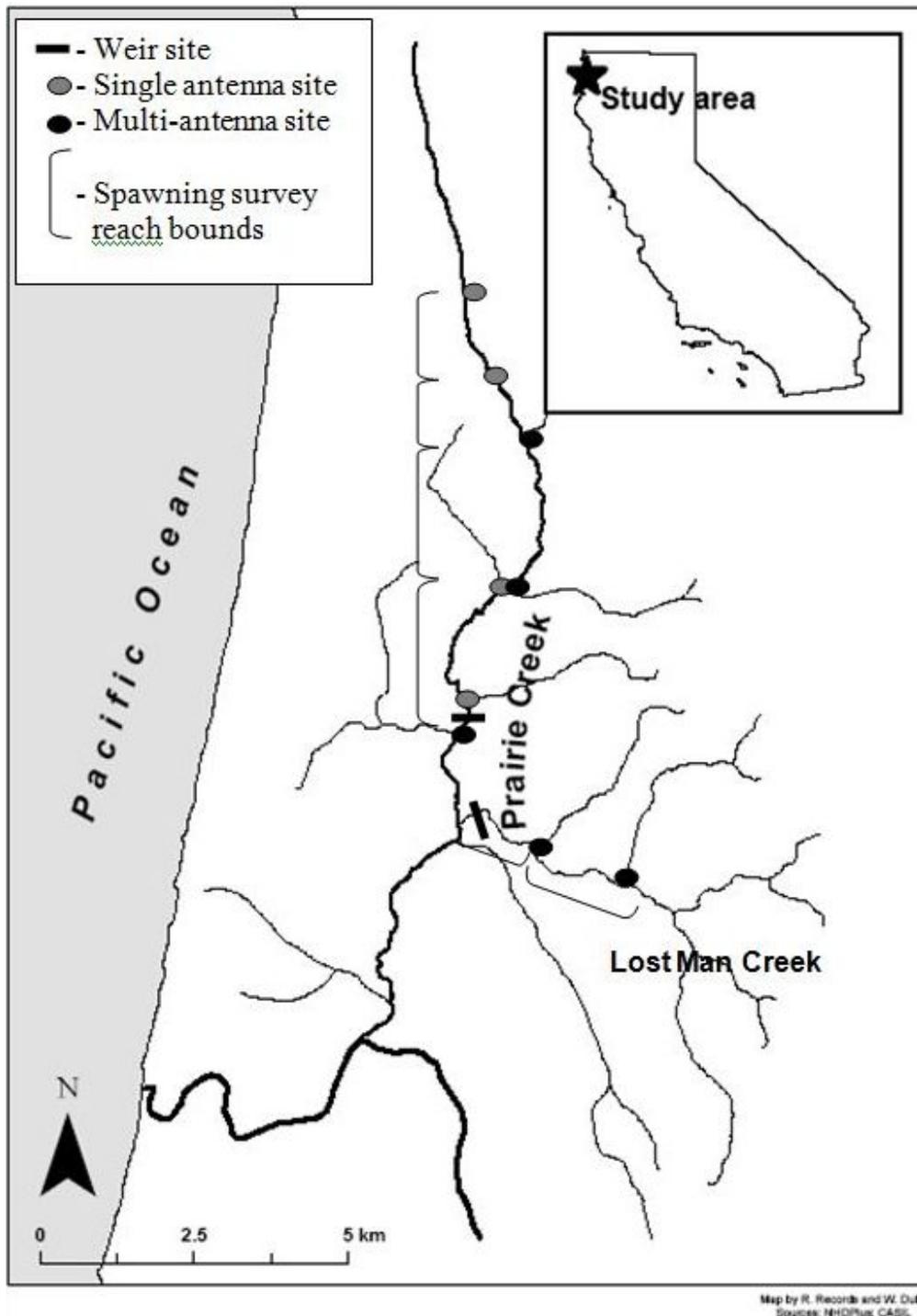
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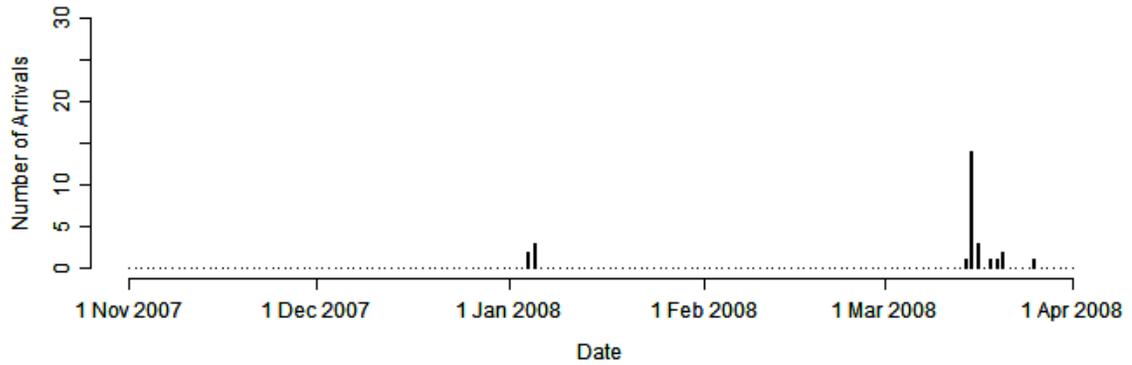
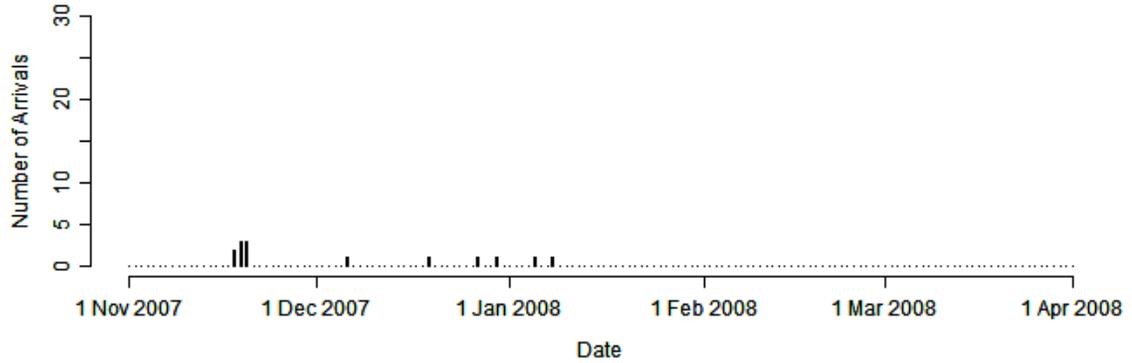
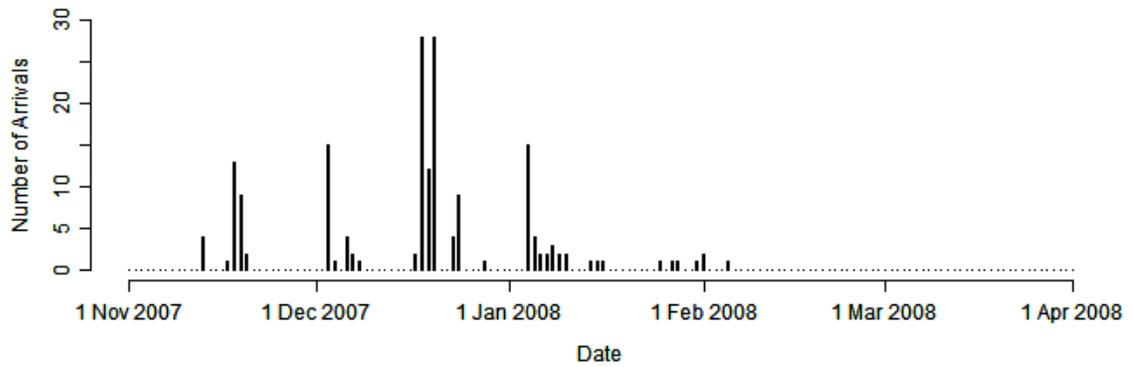
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Figure 1. The Prairie Creek drainage, its respective location in Humboldt County, California and the locations of study points of interest. Multi-antenna sites refer to sites where the PIT antennae spanned two streams just above their confluence.



855

856 Figure 2. Daily counts of coho salmon (top), Chinook salmon (middle), and steelhead
 857 (bottom) at the Prairie Creek weir during the 2007-2008 trapping season.

858

859 Table 1. Summary of the numbers of coho salmon, Chinook salmon and steelhead
 860 trapped and tagged at the Prairie Creek weir during the 2007-2008 and 2008-2009
 861 trapping seasons, and at the Lost Man Creek weir during the 2008-2009 trapping
 862 season.

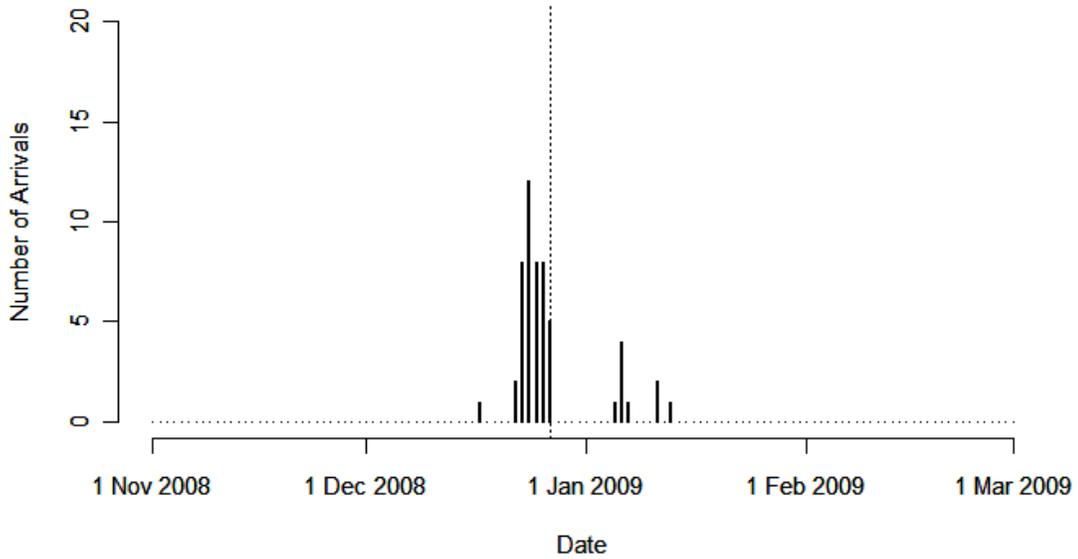
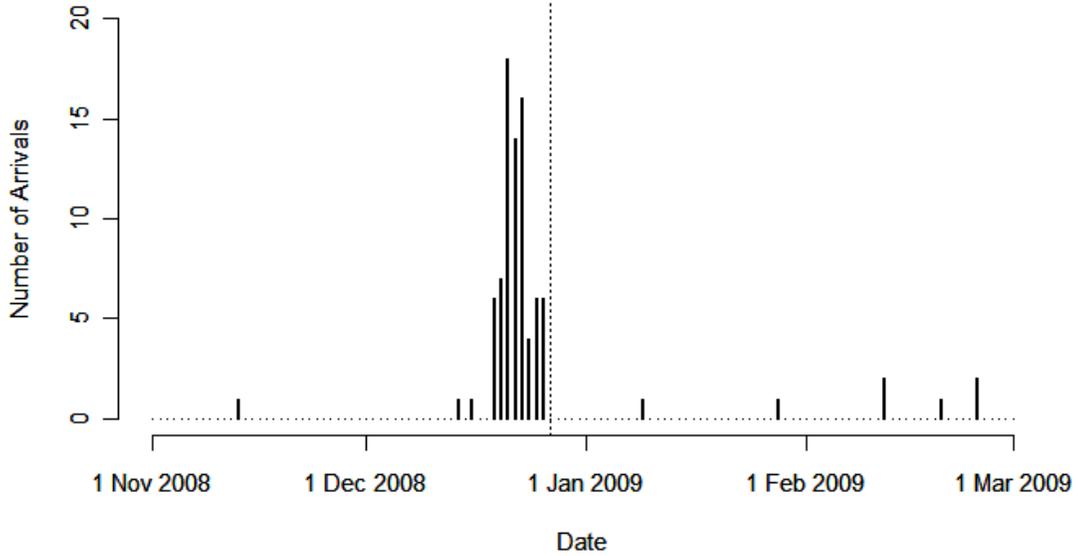
Stream	Species	Sex	2007-2008			2008-2009		
			Caught	PIT- tagged	Radio- tagged	Caught	PIT- tagged	Radio- tagged
Prairie Creek	Coho	Male	109	103	1	50	45	0
		Female	67	64	14	37	33	2
		Total	176	167	15	87	78	2
	Chinook	Male	11	10	0	36	22	0
		Female	3	3	2	18	14	3
		Total	14	13	2	54	36	3
	Steelhead	Male	17	17	0	4	4	0
		Female	11	11	0	3	2	0
		Total	28	28	0	7	6	0
Lost Man Creek	Coho	Male	--	--	--	9	9	0
		Female	--	--	--	3	3	0
		Total	--	--	--	12	12	0
	Chinook	Male	--	--	--	16	16	0
		Female	--	--	--	14	14	0
		Total	--	--	--	30	30	0
	Steelhead	Male	--	--	--	1	1	0
		Female	--	--	--	0	0	0
		Total	--	--	--	1	1	0

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864 Table 2. Numbers of observations of live coho and Chinook salmon made over the
 865 course of 24 spawning surveys conducted in the Prairie Creek, California study
 866 area during the 2007-2008 spawning season.

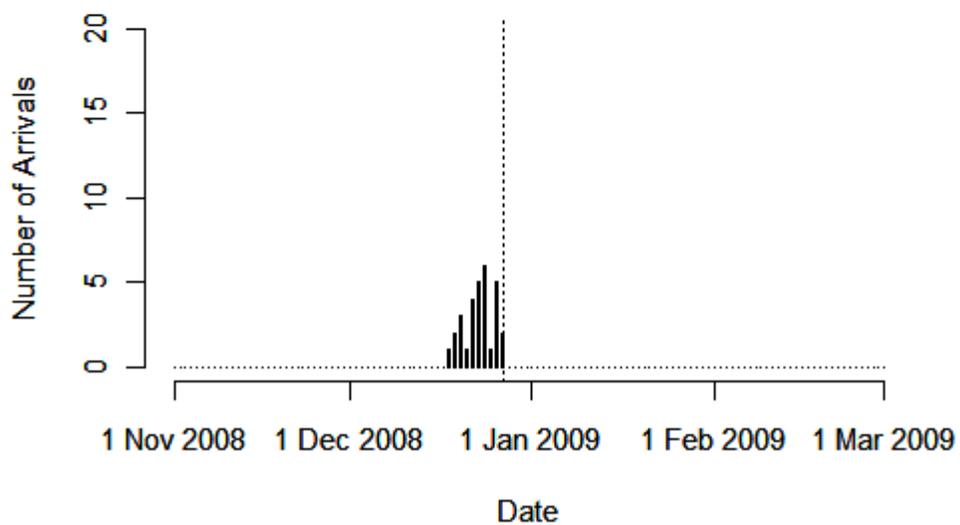
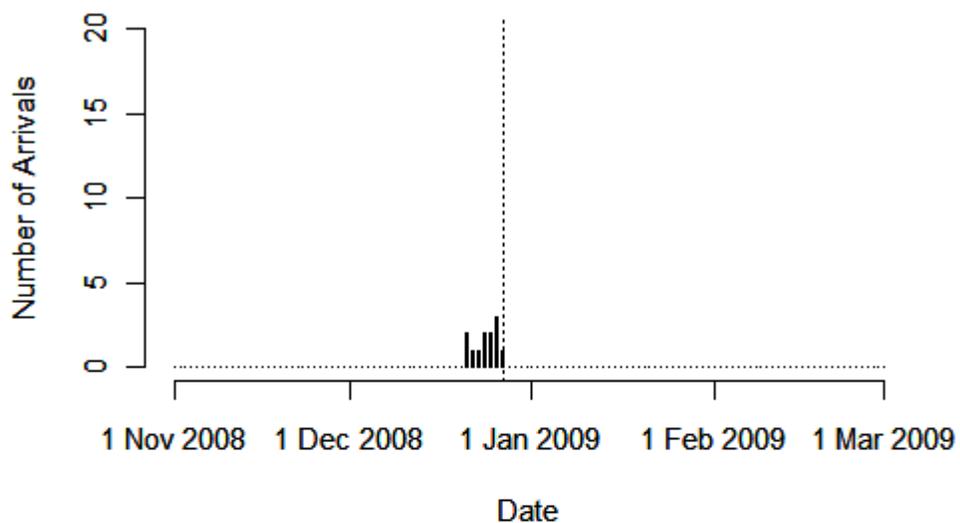
Survey Reach	Survey Date	Coho Salmon			Chinook Salmon		
		Tagged	Untagged	Unsure	Tagged	Untagged	Unsure
1	23 Oct	0	0	0	0	0	0
	23 Nov	2	0	2	0	0	0
	13 Dec	2	6	3	0	4	0
	2 Jan	4	8	15	0	2	0
	16 Jan	8	3	3	0	0	0
	12 Feb	0	0	0	0	0	0
2	24 Oct	0	0	0	0	0	0
	24 Nov	0	0	0	0	0	0
	10 Dec	4	3	2	0	0	0
	2 Jan	5	0	20	0	0	0
	14 Jan	2	4	4	0	1	0
	10 Feb	0	0	1	0	0	0
3	25 Oct	0	0	0	0	0	0
	25 Nov	0	0	0	0	0	0
	11 Dec	0	0	0	0	0	0
	3 Jan	0	6	2	0	0	0
	15 Jan	6	11	15	0	0	0
	9 Feb	0	0	0	0	0	0
4	26 Oct	0	0	0	0	0	0
	27 Nov	0	0	0	0	0	0
	12 Dec	0	0	0	0	0	0
	27 Dec	4	4	4	0	0	0
	17 Jan	6	1	3	0	0	0
	11 Feb	0	0	0	0	0	0

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868

869 Figure 3. Daily counts of coho (top) and Chinook salmon (bottom) arriving at the Prairie
870 Creek weir during the 2008-2009 trapping season. Dotted vertical line indicates
871 the location of 27 Dec 2008, when project funding was frozen.
872



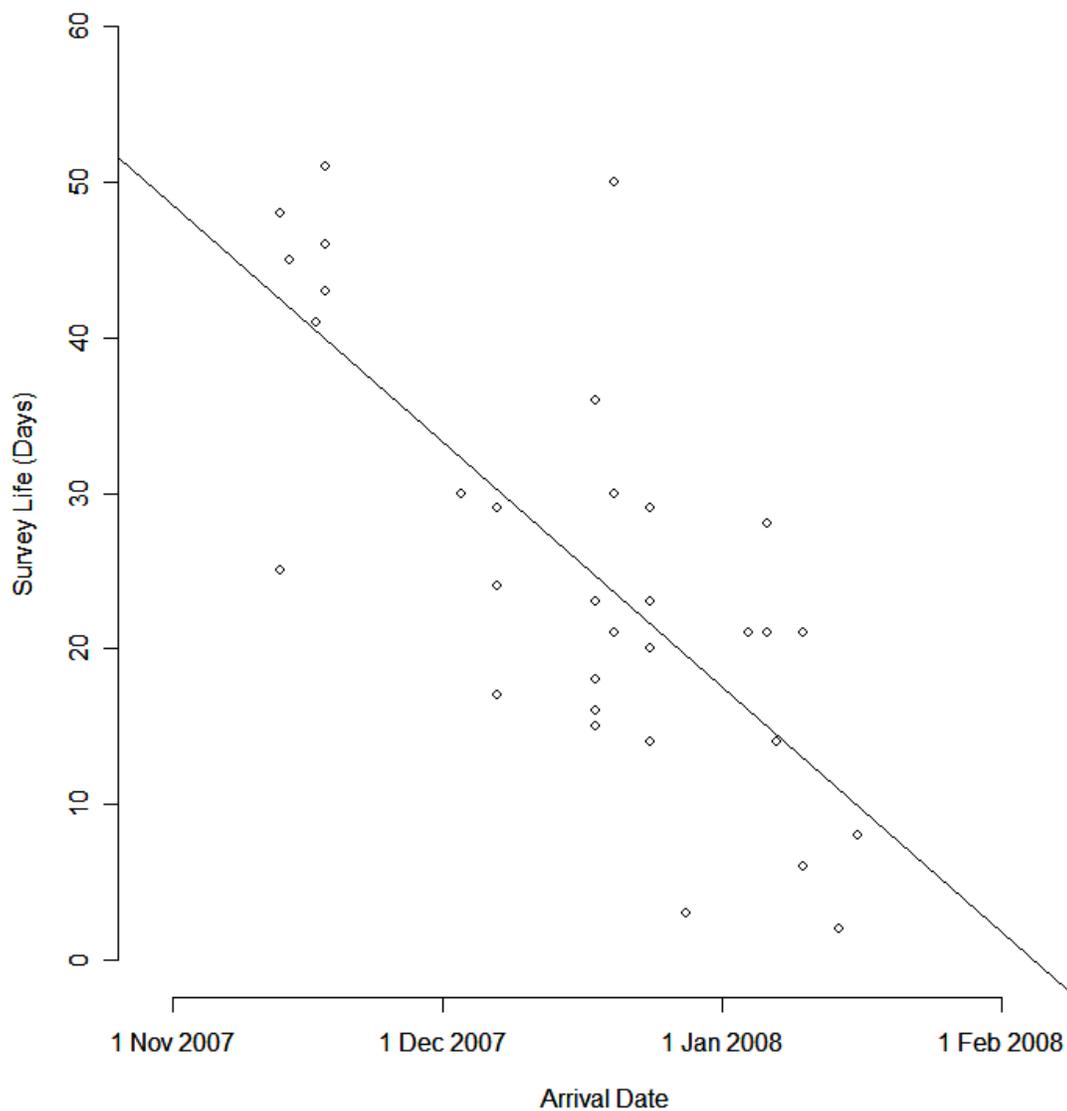
873

874 Figure 4. Daily counts of coho (top) and Chinook (bottom) salmon arriving at the Lost
 875 Man Creek weir during the 2008-2009 trapping season. Dotted vertical line
 876 indicates the location of 27 Dec 2008, when project funding was frozen.
 877

878 Table 3. Numbers of observations of live coho and Chinook salmon made over the
 879 course of 15 spawning surveys conducted in the Prairie Creek and Lost Man
 880 Creek study areas during the 2008-2009 spawning season.

Stream	Reach	Date	Coho Salmon			Chinook Salmon		
			Tagged	Untagged	Unsure	Tagged	Untagged	Unsure
Lost Man	1	21 Dec	0	1	0	0	1	0
		21 Jan	0	1	0	0	1	0
	2	21 Dec	0	0	0	0	0	0
		21 Jan	0	4	0	0	0	0
Prairie	1	15 Nov	0	0	0	0	0	0
		13 Jan	2	5	0	1	5	0
		23 Jan	1	5	7	0	0	0
	2	16 Nov	0	0	0	0	0	0
		13 Jan	5	5	7	3	2	0
		23 Jan	2	2	0	0	0	0
	3	17 Nov	0	0	0	0	0	0
		12 Jan	4	9	1	0	3	1
		19 Jan	0	1	0	0	2	0
	4	18 Nov	0	0	0	0	0	0
		9 Jan	4	5	0	1	2	0

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882

883 Figure 5. Survey life as a function of date of arrival (at the weir) of 33 coho salmon
884 tagged at the Prairie Creek weir during the 2007-2008 spawning season.

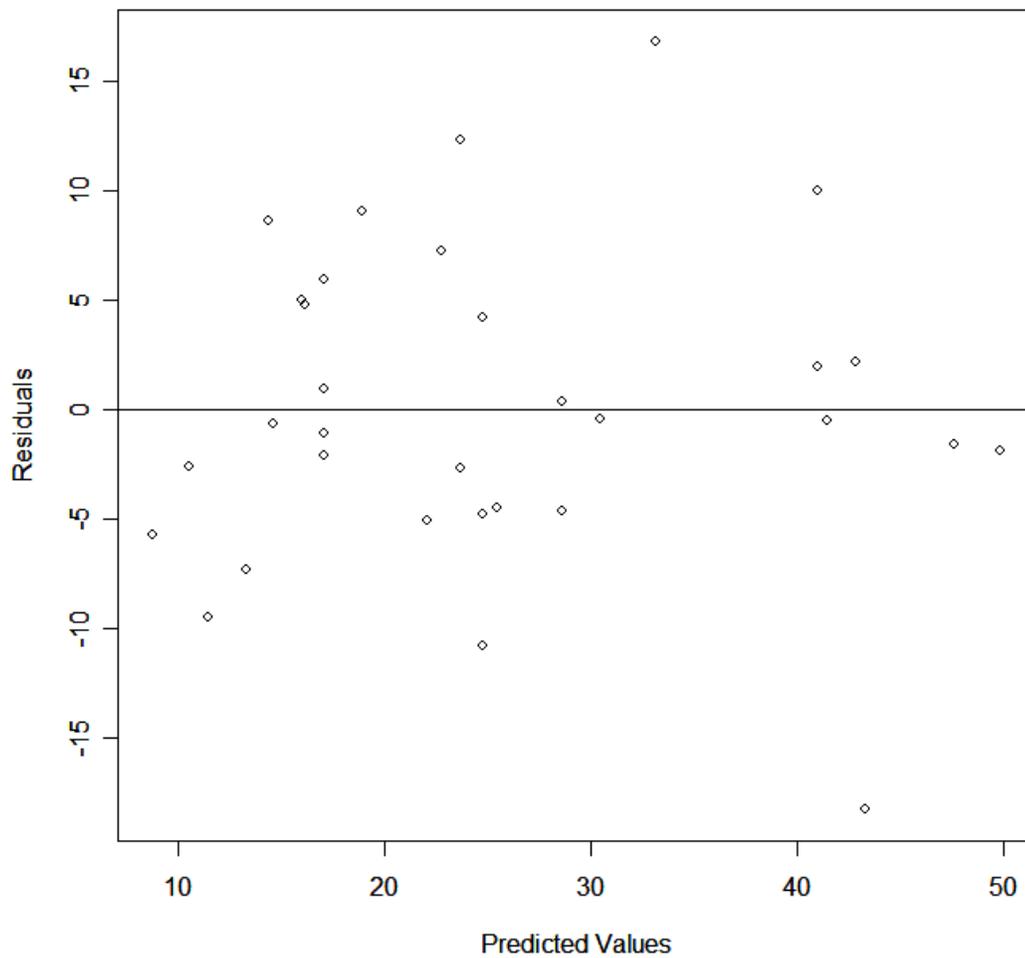
885

886 Table 4. Model selection results for the multiple linear regression analysis of post-
 887 tagging coho salmon longevity in Prairie Creek during the 2007-08 spawning
 888 season. The 'condition' covariate refers to the condition of fish upon arrival at
 889 the weir.
 890

Model #	Covariates	AIC _c	ΔAIC _c	Weight
4	arrival date, sex, condition	233.581	0	0.824
3	arrival date, condition	236.735	3.154	0.170
1	arrival date	244.696	11.115	0.003
2	arrival date, sex	245.523	11.942	0.002
5	sex, condition	257.919	24.338	< 0.001
6	null (intercept only)	268.245	34.664	< 0.001

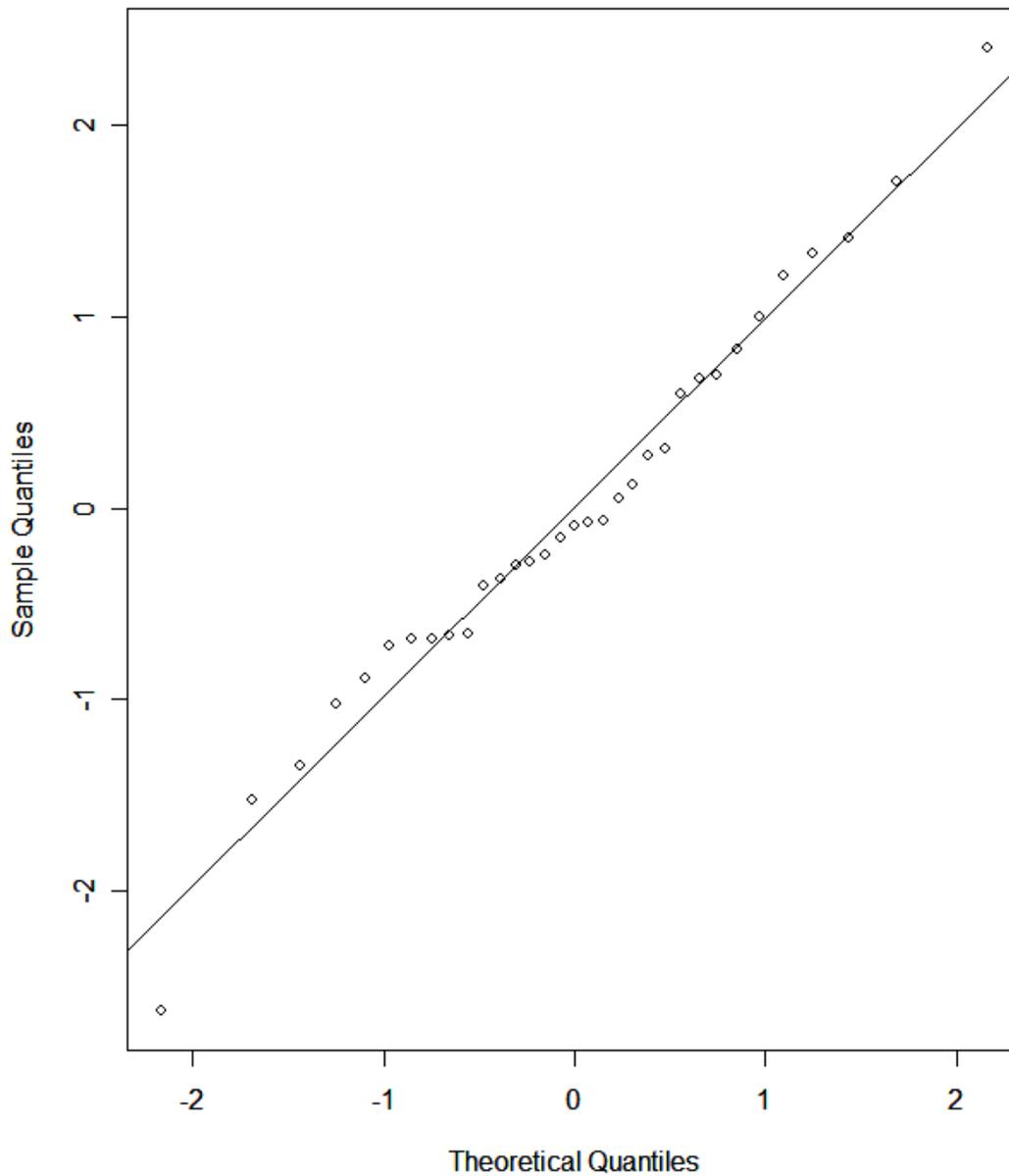
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893

894 Figure 6. Plot of model residuals against predicted values for Model 4, which included
895 date of arrival, sex, and condition upon arrival, and best described survey life of
896 tagged coho salmon in Prairie Creek, California, during the 2007-2008 spawning
897 season.



898

899 Figure 7. Normal probability plot of standardized residuals for Model 4, which included
900 date of arrival, sex, and condition upon arrival, and best described survey life of
901 tagged coho salmon in Prairie Creek, California, during the 2007-2008 spawning
902 season.
903

904 Table 5. Calculated observer efficiency for all surveys in Prairie Creek during the 2007-
 905 2008 spawning season where tagged coho salmon were known to be present in the
 906 reach surveyed. 'Base Present' refers to the base number of tagged individuals
 907 estimated to be present in the survey reach on the survey date, while 'Maximum
 908 Present' refers to the maximum number of tagged individuals estimated to be
 909 present in the survey reach on the survey date. Estimates of the number of tagged
 910 individuals present come from the lower and upper bounds on the survey life
 911 estimates, respectively.

Survey Reach	Survey Date	Observer Efficiency based on:	
		Base Present	Maximum Present
1	23 Nov	0.100	0.100
	13 Dec	0.071	0.071
	2 Jan	0.167	0.114
	16 Jan	0.667	0.533
2	24 Nov	0.000	0.000
	10 Dec	0.235	0.235
	2 Jan	0.250	0.227
	14 Jan	0.286	0.167
3	11 Dec	0.000	0.000
	3 Jan	0.000	0.000
	15 Jan	1.000	0.300

912

913

914

915 Table 6. Estimates of observer efficiency for live coho salmon in Prairie Creek during
 916 the 2007-2008 spawning season, as calculated from the comparison method and
 917 solution method. The comparison method generated observer efficiency values
 918 by comparing the number of tagged individuals estimated to be in a survey reach
 919 on a survey date to the number of tagged individuals observed during that survey.
 920 The solution method consisted of solving for coho salmon observer efficiency in
 921 the general form of the AUC escapement estimation formula after plugging
 922 estimates of survey life, AUC, and the mark-recapture escapement estimate into
 923 the formula.

Method	Value ^a	Observer Efficiency, based on		
		Lower ^b	Estimate ^b	Upper ^b
Comparison	Median	0.167	NA	0.114
	Mean	0.252	NA	0.159
Solution	Estimate	0.491	0.223	0.130

924 ^a The comparison method generated an observer efficiency value for each survey. These values were
 925 summarized in this table by mean and median values. Median values are presented because the
 926 observer efficiency values are not distributed normally. The solution method generated only a
 927 single value for each escapement estimate value plugged into the AUC escapement estimation
 928 formula.

929 ^b “Lower” and “Upper” refer to the source of the values used to generate the observer efficiency estimates.
 930 For the comparison method, these terms refer to the lower and upper estimates of the number of
 931 fish present in the survey reach on the survey date; “lower” refers to the base number of fish
 932 known to be present. For the solution method, these terms refer to estimates based on the lower
 933 and upper 95% confidence bounds on the survey life and mark-recapture escapement estimates,
 934 while “Estimate” refers to the estimate based on the survey life and mark-recapture estimates.